

## SAMPLING SYSTEM

## TECHNICAL FIELD

The present invention is concerned with a sampling system and, more particularly, with a sampling system for the storage of biological samples for subsequent analysis.

## BACKGROUND ART

10                    Biological samples are frequently collected in  
the field for later analysis for a variety of purposes.  
The analysis to be conducted will often be an analysis of  
the DNA contained in the sample in order to establish the  
genetic profile of the sample. Such an analysis may be  
15 conducted, for example, to verify and/or trace genetic  
lines in stock, to identify desirable traits in animals by  
identifying genetic markers for these traits or to  
identify the source of animal or plant material in a food  
product. For example, meat and meat products may be  
20 traced using DNA analysis in order to ensure that  
substitution of a lesser quality product has not occurred  
at any stage in the processing of the meat product or to  
identify the source of meat found to be contaminated in  
the marketplace.

25 DNA analysis for the purpose of identifying an individual organism is a well-known technique. For example, United States Patent No. 5,211,286, United States Patent No. 5,101,970 and United States Patent No. 5,856,102 describe systems for the identification of individual human beings in this way. In each case the invention is concerned with a personal identification system in which DNA-containing samples such as hair are stored in sealable plastic envelopes in a person's home to assist in their identification should the person become lost or go missing. However, each of these samples relies on the goodwill of those handling the DNA-containing materials prior to undertaking the analysis to ensure the

integrity of the sample, since there is no means of avoiding tampering in the system or substitution of alternative samples. Accordingly, the only use for such systems is for an individual to store samples of their own DNA-containing material where they have control of that sample, such as in the family freezer.

Attempts have been made to ensure that the identity of meat and meat products can be traced through the production process in a variety of ways. For example, the identity of beef, pigs and poultry on a batch or consignment basis is sometimes recorded using batch/consignment numbers applied to the batch/consignment source through the slaughter process to the consumer. Indeed, in some countries e.g. the United Kingdom, Government agencies issue numeric or alpha-numeric codes to farmers who subsequently allocate such codes to each of the animals bred by them. The allocated codes are generally inscribed on ear-tags applied to the animal and recorded on a card peculiar to the animal to allow for unique identification of that animal. Various other data on an animal may also be recorded, such as the vaccination records of the animal. The information can be forwarded to a Government agency progressively or at some time just prior to slaughter of the animal. Nevertheless, this system is administratively intensive and the identification and testing records are not easily integrated.

In the slaughter process a beast is often divided at an early stage, and then sub-codes identifying each half of the beast are generated and continue to be used to identify the halves. However, further division occurs later in the butchering process, at which point it becomes impractical to continue to assign codes to each batch of meat. Accordingly, although attempts have been made to continue to identify meat using tags or labels all the way through the process, it is difficult to ensure that such tags and labels are applied accurately. Therefore, the

information provided in such systems may be inaccurate and the systems are highly labour intensive and expensive. Accordingly, meat and meat products will frequently be retailed without any identification tag or label able to trace the product through the slaughter process back to the beast from which it originated.

International Application No. PCT/IE98/00021  
describes a method for identifying the animal from which a meat product is derived, comprising genotyping the meat, comparing the genotype with known animal genotypes and locating any matching genotype to identify the animal from which the meat product is derived. The application of this method requires that DNA analysis be conducted of all animals and the data stored and then matched to any meat products tested. Alternatively, the samples from such beasts can be stored and then analysed later if the need arises. In either case, a library of genetic information of beasts is built up and compared to the DNA profile of meat analysed, either for routine quality assurance purposes (to trace product history to ensure, for example, that substitution of an inferior quality meat has not occurred) or, in instances where contamination of meat has been identified, so that the meat may be traced back to the trade source in an effort to identify the cause of the contamination.

The sampling system proposed in PCT/IE98/00021 is to take samples from animals in the conventional manner and then place them in an identification tube or cell which is marked with the animal tag identification code, but not secured in any way. The sample is then transferred to a laboratory for PCR analysis. The labeled tube or cell is placed in a well of a microtitre plate having a multiplicity of such wells, with each well being provided with a code matching the animal tag identification code. The analysis is conducted in the marked microtitre plate but there is no way of ensuring, aside from matching the codes manually, that the correct

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identification tube or cell is placed in the correct well in the microtitre plate. Thus, if only the code from the microtitre plate is used for subsequent identification, errors can occur. However, of still greater concern is  
5 the possibility that samples may be switched from one identification tube or cell to another long before such cells or tubes reach the laboratory where the analysis is conducted, since the tubes or cells are not secured. Accordingly, if a person with fraudulent intent chooses to  
10 substitute one sample for another in the samples provided for DNA analysis, this substitution will not be detectable. The present invention seeks to provide a way of ensuring that the identity of a biological sample is known with certainty when an analysis of the sample is  
15 conducted.

DISCLOSURE OF THE INVENTION

According to a first aspect of the present invention, there is provided a sample collection device  
20 for collecting and storing a biological sample for subsequent analysis, comprising tamper-evident storage means for storing said sample, said storage means comprising:

25 a base sheet arranged so that the biological sample may be positioned thereon;

30 a cover sheet hingedly secured to said base sheet, said cover sheet being adapted for substantially irreversible adhesive securement to said base sheet over at least a substantial portion of their facing surfaces; and

35 a backing sheet releasably secured to the surface of said cover sheet facing said base sheet; wherein said storage means is suitable for digestion together with said biological sample.

According to a second aspect of the present invention, there is provided a system for the analysis of a biological sample, comprising:

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a sample collection device for collecting and storing a biological sample comprising tamper-evident storage means for storing said sample, said storage means being adapted for digestion together with said biological  
5 sample for analysis;

means for taking at least a portion of said sample for analysis together with at least the part of said storage means in which it is encased;

10 means for digesting said sample, or portion thereof, together with at least said part of said storage means; and

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means for analysing said sample.

According to a third aspect of the present invention, there is provided a method of collecting and storing a biological sample for subsequent analysis, 5 comprising the steps of:

providing a sample collection device for collecting and storing a biological sample comprising tamper-evident storage means for storing said sample, said storage means comprising:

10 a base sheet arranged so that the biological sample may be positioned thereon;

a cover sheet hingedly secured to said base sheet, said cover sheet being adapted for substantially irreversible adhesive securement to said base sheet over 15 at least a substantial portion of their facing surfaces;

a backing sheet releasably secured to the surface of said cover sheet facing said base sheet;

wherein said storage means is suitable for digestion together with said biological sample; and 20 storing said sample on a base sheet in said storage means.

According to a fourth aspect of the invention, there is provided a method of analysing a biological sample, comprising the steps of:

25 providing a sample collection device for storing a biological sample comprising tamper-evident storage means for storing said sample, said storage means being suitable for digestion together with said biological sample;

30 taking at least a portion of said sample together with at least the part of said storage means in which it is encased;

digesting said sample, or portion thereof, together with at least said part of said storage means; and

35 analysing said sample.

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According to a fifth aspect of the invention there is provided a sample collection device for collecting and storing a biological sample for subsequent analysis, comprising:

5 a base sheet arranged so that the biological sample may be positioned thereon;

10 a cover sheet hingedly secured to said base sheet, said cover sheet being adapted for substantially irreversible adhesive securement to said base sheet over at least a substantial portion of their facing surfaces; and

15 a backing sheet releasably secured to the surface of said cover sheet facing said base sheet.

According to a sixth aspect of the present invention there is provided a method of collecting and storing a biological sample, comprising the steps of:

20 applying said biological sample to a base sheet having a cover sheet hingedly secured thereto, said cover sheet being adapted for substantially irreversible adhesive securement to said base sheet over at least a substantial portion of their facing surfaces and bearing a backing sheet releasably secured thereto;

removing said backing sheet; and

25 allowing said cover sheet to adhere substantially irreversibly to the base sheet and/or the biological sample positioned on said base sheet.

In particular, the cover sheet may be coated with a permanent adhesive across its entire surface, and the portion of the

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5 cover sheet to which the backing sheet is not secured constitutes the hinged connection between the cover sheet and the base sheet. A backing sheet is generally releasably secured to the surface of the cover sheet in order to prevent it sticking to the base sheet before it is put to use in collecting a biological sample.

10 Advantageously said base sheet is printed on its reverse. A bar code may be printed on this sheet together with instructions for use of the device and/or an area to write an identification code.

Advantageously, the base sheet is a sheet of paper, typically a sheet of gloss art paper. The cover sheet is typically a clear polypropylene film and the backing sheet is a release paper.

15 The biological sample may be any suitable body part including animal hair, hide, buccal swabs, blood, muscle, bone, scales or the organs of an animal, or may be plant material such as leaves, stems or woody material. Body fluids including blood, saliva, semen and urine may 20 also be sampled.

25 Preferably the sample is subjected to analysis to establish a DNA profile, but the analysis may be for any material contained in said sample provided that it is present in sufficient quantities for the analysis and that none of the materials in said storage means interferes with the analysis. For example, the sample may be analysed for protein or mineral content, or for the content of other materials such as carbohydrate or lipid. It may also be analysed for the presence of chemicals such 30 as chemical contaminants e.g. pesticides in the sample. Typically, the analysis comprises amplification of the DNA contained in a sample such as animal hair using the polymerase chain reaction (PCR) followed by DNA sequencing to establish a genetic profile. The purpose of the 35 analysis used, for example, to verify and/or trace genetic lines in stock, to identify desirable traits in animals by identifying genetic markers for these traits or to

identify the source of animal or plant material in a food product. In particular, meat and meat products may be traced using DNA analysis in order to ensure that substitution of a lesser quality product has not occurred 5 at any stage in the processing of the meat product or to identify the source of meat found to be contaminated in the marketplace.

Typically, the sample is taken for analysis by punching out at least a portion of the sample that has 10 been collected together with that part of said storage means in which it is encased, using a conventional punching device. It will be appreciated that contamination of the sample cannot occur in this process, as may occur, for example, if a sample is transferred from one vessel to another for analysis. Moreover, the 15 integrity of the sample is ensured since there is no possibility of accidental switching of the sample at this stage.

The sample together with the part of said storage 20 means is digested by conventional means for analysis. In the case of DNA for PCR analysis, this may be by a conventional alkali extraction or phenol/chloroform extraction. In this step, the material making up said storage means may dissolve or partially dissolve, but at 25 least should not interfere with development of the DNA profile.

In a particularly preferred embodiment of the invention, the device also bears a code corresponding to 30 or linked to the animal tag identification code. This means that the sample from the animal is identified at the point of taking the sample by the same unique identifier or a different unique identifier provided the two are linked as the animal, and this unique identifier remains 35 in physical juxtaposition with the biological sample from the time it is taken to the time the sample is analysed. Given that the storage means is tamper-evident, any tampering after collection, for example when a sample is

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archived, will be readily apparent to the person analysing the sample.

Typically said base sheet is adapted for a biological sample to be positioned on a first surface and 5 has printing identifying the sample on a second surface. Typically the printing is a bar-code which encodes the animal tag identification code or the animal identification code itself. In the latter case, the code may be written into an appropriate space by the person 10 taking the sample. Typically, the second surface also includes information as to how to use the sample collection device.

The base sheet is typically a substantially rectangular sheet of paper, hence the first surface is the 15 obverse of said base sheet and the second surface is its reverse. Preferably, the base sheet is a gloss art paper to ensure strong adhesion, and it should not contain any chemicals which will inhibit or interfere with the analysis to be conducted. Typically it is a sheet of 20 150gsm A2 gloss art paper

Each substantially rectangular base sheet may be joined by a line of weakness to a substantially identical sheet in order to connect a plurality of devices in accordance with the present invention. This allows the 25 devices to be provided to the user as a roll from which

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individual sample storage devices may be torn off. The cover sheet may also be joined to adjacent cover sheets by a line of weakness, in which case separation of the cover sheet from the adjacent cover sheet is also necessary in 5 order to remove an individual sample storage device. Alternatively, although it is not preferred, only the cover sheet may be connected to adjacent cover sheet by a line of weakness.

10 The base sheet and the cover sheet also include an elliptical bite taken therefrom which makes it easier for the backing sheet to be removed from the cover sheet, and is also useful in lining up rolls of individual sample storage devices during printing of the roll. The cover sheet may be hingedly secured to the base sheet in any 15 convenient manner, but is typically secured thereto through adhesive securing along a line adjacent an edge of the base sheet. The adhesive securing may be along the entire length of said first edge or along a portion of said edge.

20 Typically, the cover sheet is coated across its entire surface with a permanent adhesive and the backing sheet is applied to that portion of the cover sheet which is intended to encase the biological sample. The remainder of the cover sheet then adheres to the base 25 sheet in order to hingedly secure it thereto.

The cover sheet is typically a polymeric film, preferably a clear polypropylene film.

30 The adhesive may be any suitable adhesive, and is typically a pressure-sensitive adhesive. It should contain no animal products so as not to introduce any foreign DNA into the analysis process.

35 The backing sheet is typically a release paper. In use, when the backing sheet is peeled from the cover sheet, the adhesive on the cover sheet bonds firmly and substantially irreversibly to said base sheet. Any efforts to peel the cover sheet from the base sheet would typically result in destruction of the base sheet and/or

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the cover sheet, or at least in sufficient mutilation of the two for the attempt to tamper with a sample to be apparent.

An absorbent material may be secured on the front 5 surface of said base sheet. This makes collection of body fluids easier as a quantity of these may be absorbed by the absorbent layer. Typically the absorbent layer is blotting paper.

Devices in accordance with the present invention 10 may also be supplied together with a sampling device for sampling animal tissue.

The sample collection device may be supplied as a part of a kit which further comprises a sampling device. The sampling device preferably takes a consistent and 15 reproducible sample from animals whilst simultaneously avoiding any cross-contamination of tissue. The nature of the sampling device will be well understood by the person skilled in the art, but is typically forceps or pliers. The kit may also include instructions for use of the 20 sample collection device.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings, in which:

FIG. 1 is a bottom plan view of a device for storing a biological sample in accordance with the present invention;

FIG. 2 is a cross-section through a device for storing a biological sample in accordance with the present invention;

FIG. 3a is a flowchart illustrating the manner in which a device for storage of a biological sample in accordance with the present invention is prepared for use;

FIG. 3b is a flowchart illustrating the subsequent application of a biological sample to said device;

FIG. 3c is a flowchart illustrating the manner in which a portion of said sample is taken for analysis; and

FIG. 4 shows a device for storing a sample of a body fluid in accordance with the present invention.

## BEST MODE FOR CARRYING OUT THE INVENTION

A sample storage device 10 in accordance with the present invention, as best seen in FIG. 2 and the first frame of FIG. 3a, comprises a base sheet 11 arranged so that the biological sample may be positioned thereon, a cover sheet 12 hingedly secured to the base sheet 11 and having a backing sheet 13 releasably secured thereto. The base sheet 11 is printed on its reverse 14, which contains a bar-code 15 and also a space for writing an animal tag identification code where the sampler does not have facilities for reading a bar-code. In addition, the reverse 14 of the base sheet 11 contains instructions for use of the device, as will be discussed below in relation to FIG.s 3a-3c. The base sheet 11 is a sheet of 150 gsm A2 gloss art paper adapted to receive a biological sample on its obverse surface 17, as best seen in the first frame

of FIG. 3b, where a biological sample 18 has been deposited thereon. It also adheres substantially irreversibly to the cover sheet 12 when the backing sheet 13 is removed therefrom and the two are brought together.

5 As best seen in FIG. 3a, the cover sheet 12 is hingedly secured to the base sheet 11. In fact, the surface 19 of the cover sheet 12 facing base sheet 11 is completely covered with adhesive and backing sheet 13 is releasably secured over a portion only of the cover sheet 10 12. It will be appreciated that backing sheet 13 is made of a release paper and so can be easily peeled off cover sheet 12, but the adhesive on cover sheet 12 bonds substantially irreversibly to base sheet 11. This means that the portion of the cover sheet 12 which is not covered by backing sheet 13 bonds strongly to base sheet 15 11. Accordingly, by leaving a region of cover sheet 12 uncovered by backing sheet 13, the cover sheet 12 can be hingedly secured to base sheet 11. In this case, the backing sheet 13 is substantially rectangular in shape and corresponds in size to the size of the cover sheet 12, which is also substantially rectangular in shape, except that the length of sides 20, 21 is slightly lesser than the sides 22, 23 of cover sheet 12. Hence a small portion 20 of cover sheet 12 adjacent an edge is left exposed, and so adheres to base sheet 11 to form a hinged connection along 25 line 24.

As best seen in FIG. 4, a square of blotting paper 30 may be bonded to the obverse surface 17 of the base sheet 11. Samples of body fluids such as blood, 30 saliva, semen and urine may be deposited on the blotting paper and will be absorbed.

In use, a person taking a biological sample would read the instructions on the reverse 14 of the base sheet 11 and follow these. Accordingly, that person would be 35 directed to peel back the backing sheet 13 in the manner shown in FIG. 3a and so expose the adhesive on cover sheet 12. This person would then place biological sample 18

centrally on the obverse surface 17 of base sheet 11 and allow the cover sheet 13 to collapse onto the biological sample 18 and base sheet 11 so as to adhere to them. This is best seen in FIG. 3b. Having done this, the biological 5 sample can be archived or sent immediately for analysis. At all times, the bar-code or animal tag identification code written on the back is in physical juxtaposition with the sample, which is encased in the sample storage device 10. If one were to attempt to remove the sample by 10 peeling back cover sheet 13 from base sheet 11 damage to one or both sheets would occur, and the attempt to tamper with the integrity of the sample would be noted by a person subsequently conducting an analysis of the sample.

When analysis of the sample is to be conducted, a 15 punch 25 is employed to punch a hole through the centre of biological sample 18 to create sub-sample 26. It will be appreciated that the punch removes the biological sample together with those portions of both the base sheet 11 and cover sheet 13 which encase it. The biological sample 18 does not need to be removed from the sample storage device 10 prior to analysis, hence the possibility of cross-contamination is minimised and the opportunity for 20 tampering with the sample or substitution with another sample is limited even at the analysis stage. The sub-sample 26 that is punched out will immediately be placed in an appropriate vessel for digestion and subsequent analysis in the conventional manner.

The results of the analysis can then be matched 25 to the animal tag identification code and/or bar-code to add to the information compiled on the beast from which the sample came. This allows for unequivocal identification of the genetic identity of the beast and so allows for comparison of a subsequent DNA analysis of a meat sample with these records to identify the source of 30 any single piece of meat. In turn, this allows an audit line to be established to ensure that substitution of meat or meat products has not occurred and allows a source of 35

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contamination to be identified through tracing the contaminated meat back through the slaughter process to a particular beast.

The results of the analysis may also be used to verify and/or trace genetic lines in stock. Thus, the purported blood line of an animal may be checked by comparing the DNA profile compiled for the animal to the records established for other animals. Where the particular animal tested has a desirable trait, the results of the test may be used to identify genetic markers for this trait. Thereafter, animals bearing this genetic marker may be selected for when breeding in an endeavour to establish the desirable trait widely within a breed.

Alternatively, the analysis may be a chemical analysis to establish the composition of the biological sample. For example, the sample may be analysed for protein or mineral content, or for the content of other materials such as carbohydrate or lipid. The analysis may also be for the presence of chemicals such as chemical contaminants. For example, the presence of trace levels of pesticides in a sample can be detected and then the contamination traced back to its source.

#### EXAMPLE 1

A biological sample collected and stored in accordance with the present invention may then be subjected to PCR analysis to obtain a DNA profile using the following method:

##### Alkali Extraction Method

A hole is punched through the centre of a sample storage device in accordance with the present invention in the region where the biological sample is located. Thus, a sub-sample is created which contains a portion of the biological sample together with that part of the sample storage device in which it is encased. This material is

then placed in a 0.2 $\mu$ l tube or well of a 96 well microtitre plate. The tube or plate is centrifuged briefly so that the sub-sample collects into the bottom of the tube or a well of the plate. 50 $\mu$ l of a 200mM sodium hydroxide solution is added and the mixture is incubated at 95°C for minutes. The contents of the tube or well are mixed two to three times during the incubation by quickly removing the tube or plate from the heating block and tapping several times. The mixture is then briefly 10 centrifuged to bring down any condensation on the lids of the tube or plate. Thereafter, 50 $\mu$ l of a solution containing 200mM HCl, 100mM Tris.HCl, pH 8.5 is added and the mixture mixed briefly prior to centrifuging for two 15 minutes at 13000rpm. In the next step, 80 $\mu$ l of the supernatant is transferred to a fresh tube/plate and diluted with 100 $\mu$ l sterile MilliQ H<sub>2</sub>O. The solution is stored at -20°C for subsequent use of 1-2 $\mu$ l in PCR.

#### Amplification and Analysis

20 The PCR techniques employed are conventional, and well understood by the person skilled in the art. Generally, the process involves a repetitive series of thermal cycles involving template denaturation, primer annealing and extension of the annealed primers by Taq DNA 25 polymerase, with the result that there is an exponential accumulation of specific short DNA sequences. These DNA sequences are characteristic of the beast from which the sample was taken, and typically contain length variation at DNA sequence repeats or microsatellites which allow 30 identification of the beast. In particular, microsatellite loci peculiar to the species of animal being tested can be amplified and analysed using the PCR process. Suitable primers are well known and, for example, are contained in the cattle paternity bovine PCR 35 typing kit sold by Perkin Elmer under the name STOCKMARKS. This kit incorporates fluorescent tagged primers specific to eleven microsatellite loci useful in identifying cattle

as well as unlabeled primers, polymerase, reference bovine DNA, dNTPs and buffers necessary to test the animals at these loci. The kit describes the procedures for conducting the analysis which are, in any event, well understood by the person skilled in the art.

The amplified product may then be subjected to a DNA fragment analysis on a suitable DNA analysis system, the likes of which are commercially available. The DNA profiles thus obtained are unique and unequivocally linked to the beast from which the sample is obtained through the audit trail described above. The genetic profile of a tissue sample subsequently obtained can be searched on a database of these genetic profiles to locate a match. Therefore, the original animal from which a tissue sample derived can be identified.

Throughout this specification and the claims, the words "comprise", "comprises" and "comprising" are used in a non-exclusive sense, except where the context requires otherwise.

Variations and modifications of this device will be apparent to the person skilled in the art, and those variations and modifications are within the scope of the present invention.

#### INDUSTRIAL APPLICABILITY

The present invention ensures the integrity of biological samples taken in the field and analysed subsequently in a laboratory. Thus analysis of the samples may be conducted in order to establish a genetic profile of the sample or to ascertain its composition with the assurance that the sample has not been tampered with or modified in transit. This means that an analysis to verify and/or trace genetic lines in stock, to identify desirable traits in animals by identifying genetic markers for those traits or to identify the source of animal or plant material in a food product can be done with assurance that the results are accurate. Likewise, the

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samples may be analysed for a protein or mineral content, or for the content of other materials such as carbohydrate or lipid and the results may be assured. In particular, it may be analysed for the presence of chemical 5 contaminants with the assurance that the sample has not been modified in any way in transit.